

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b))

Docket No.  
**14701**

In Re Application Of: **Syuuichi Kariyazaki**

OCT 01 2007

U.S. PATENT & TRADEMARK OFFICE

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
<b>09/876,396</b>	<b>June 7, 2001</b>	<b>Colleen A. Matthews</b>	<b>23389</b>	<b>2811</b>	<b>7345</b>

Title: **SEMICONDUCTOR DEVICE**

Address to:

**Commissioner for Patents**  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

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<b>09/876,396</b>	<b>June 7, 2001</b>	<b>Colleen A. Matthews</b>	<b>23389</b>	<b>2811</b>	<b>7345</b>

Title: **SEMICONDUCTOR DEVICE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- Charge the amount of \_\_\_\_\_  
 Credit any overpayment.  
 Charge any additional fee required.
- Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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Signature

*Paul J. Esatto, Jr.*  
*Signature*  
*Typed or Printed Name of Person Signing Certificate*

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**September 27, 2007**

(Date)

*Paul J. Esatto, Jr.*  
*Signature*  
*Typed or Printed Name of Person Mailing Certificate*

\*This certificate may only be used if paying by deposit account.

*Paul J. Esatto, Jr.*  
*Signature*

*Signature*

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PJE:DAT:jam

Dated: **September 27, 2007**

CC:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Syuuichi Kariyazaki

**Examiner:** Colleen A. Matthews

**Serial No.:** 09/876,396

**Art Unit:** 2811

**Filed:** June 7, 2001

**Docket:** 14701

**For:** SEMICONDUCTOR DEVICE

**Dated:** September 27, 2007

**Conf. No.:** 7345

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following reference, which is also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Patent Laid-Open No. 2-244755, dated September 28, 1990, together with English translation.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 27, 2007.

Dated: September 27, 2007

  
Paul J. Esatto, Jr.

Applicant is submitting a copy of the above-cited reference required by 37 C.F.R. 1.98 (a)(2)(i) and (ii).

The undersigned attorney hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

  
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